

Sector \$



PATENT  
ATTORNEY DOCKET NO. 07844/235001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mark Hamburg et al.  
Serial No.: 09/010,801  
Filed : 1/22/98  
Title : MAINTAINING DOCUMENT STATE HISTORY

Art Unit: 2772  
Examiner: Not Assigned

Assistant Commissioner for Patents  
Washington, DC 20231

Attention: Box Missing Part

Handwritten mark: a large 'X' with a '3' above it.

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(d) mailed April 13, 1998 (a copy of which is enclosed), Applicant as a large entity submits herewith the following:

Payment of the basic filing fee of \$790.00.

Payment of the additional/multiple dependent claims fees of \$1,408.00. This pays for 11 independent claims over 3, not 10 independent claims as stated in the Notice.

A Combined Declaration and Power of Attorney in compliance with 37 CFR 1.63.

Payment of the surcharge of \$130.00 for late filing of the basic filing fee/declaration.

It is understood that this perfects the application and no additional papers or filing fees are required. If there are any

09/22/1998 LDIGS 00000001 061050 09010801

01 FC:102 82.00 CH

Date of Deposit June 30, 1998

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

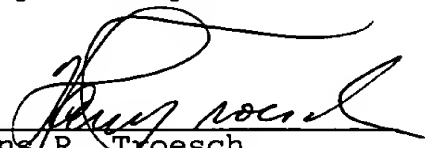
*Rosa A. Caviedes*

Rosa A. Caviedes

other charges, or any credits, please apply them to Deposit Account  
No. 06-1050.

Respectfully submitted,

Date: 30 June 1998

  
Hans R. Troesch  
Reg. No. 36,950

Fish & Richardson P.C.  
2200 Sand Hill Road, Suite 100  
Menlo Park, CA 94025

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90763. PAL1

BEST AVAILABLE COPY



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
07/01/98 801	01/22/98	HAMBURG	M 07844/235001

023279413

ROGER S BOROVY  
FISH AND RICHARDSON  
200 SAND HILL ROAD  
SUITE 100  
MENLO PARK CA 94025

NOT ASSIGNED

2772

DATE MAILED:

04/13/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 2276.00 2328

☒ 1. The statutory basic filing fee is:

- ☒ missing  
☐ insufficient

Applicant must submit \$ 1790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

07/13/98 801 07/01/98 801 07/01/98 801 independent claims over 3.

02 FC:101 \$ 506.00 for 790.00 / dependent claims over 20.

03 FC:102 \$ 820.00 for 820.00 independent claim surcharge.

04 FC:103 \$ 820.00 for 820.00 independent claim surcharge.

05 FC:105 \$ 820.00 for 820.00 independent claim surcharge.

06 FC:106 \$ 820.00 for 820.00 independent claim surcharge.

The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☒ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825"

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts"

A copy of this notice **MUST** be returned with the reply.